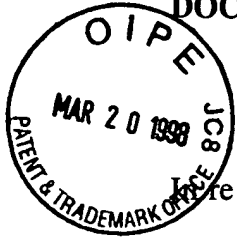


Case 1642
Box 509

DOCKET NO.: CARP-0057

PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re patent application of: **Adair et al.**

Serial No.: **08/846,658**

Group No.: **1642**

Filed: **May 1, 1997**

Examiner: **J. Reeves**

For: **Humanised Antibodies**

I, **Doreen Yatko Trujillo**, Registration No. **35,179** certify that this correspondence is being deposited with the U.S. Postal Service as First Class Mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

On March 17, 1998
Doreen Yatko Trujillo
Doreen Yatko Trujillo Reg. No. 35,179

BOX SEQUENCE

Assistant Commissioner for Patents
Washington DC 20231

Sir:

RECEIVED

MAR 24 1998

MATRIX CUSTOMER
SERVICE CENTER

**RESPONSE TO NOTICE TO COMPLY WITH REQUIREMENTS
FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE
SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURE**

In response to the "Notice to Comply With Requirements for Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures" dated **February 17, 1998**, a response to which is due **March 17, 1998**, enclosed herewith is:

- (XX) Statement to Support Filing and Submission in Accordance with 37 CFR §§1.821 through 1.825;
- (XX) Substitute pages of the amended Sequence Listing;
- (XX) Substitute Computer readable form of amended Sequence Listing;
- (XX) Copy of Notice to Comply With Requirements for Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence

DOCKET NO.: CARP-0057

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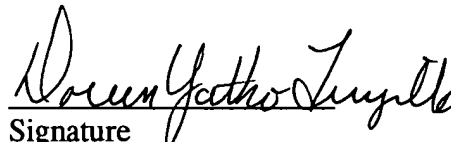
Disclosures;

() Petition for Extension of Time;

() Other: _____.

The Commissioner is hereby authorized to charge any underpayment associated with this communication or credit any overpayment to Deposit Account No. 23-3050. This sheet is attached in triplicate.

Date: March 17, 1998



Signature

Doreen Yatko Trujillo

Registration No. **35,719**

**WOODCOCK WASHBURN KURTZ
MACKIEWICZ & NORRIS LLP**
One Liberty Place - 46th Floor
Philadelphia, PA 19103
(215) 568-3100

Application No. 08/846,658

NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 C.F.R. 1.821 - 1.825 for the following reason(s):



- ☒ 1. This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to these regulations, published at 1114 OG 29, May 15, 1990 and at 55 FR 18230, May 1, 1990.
- ☐ 2. This application does not contain, as a separate part of the disclosure on paper copy, a "Sequence Listing" as required by 37 C.F.R. 1.821(c).
- ☐ 3. A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e).
- ☐ 4. A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing."
- ☐ 5. The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A Substitute computer readable form must be submitted as required by 37 C.F.R. 1.825(d).
- ☐ 6. The paper copy of the "Sequence Listing" is not the same as the computer readable form of the "Sequence Listing" as required by 37 C.F.R. 1.821(e).
- ☐ 7. Other: _____

Applicant Must Provide:

- ☒ An initial or substitute computer readable form (CRF) copy of the "Sequence Listing".
- ☒ An initial or substitute paper copy of the "Sequence Listing", as well as an amendment directing its entry into the specification.
- ☒ A statement that the content of the paper and computer readable copies are the same and, where applicable, include no new matter, as required by 37 C.F.R. 1.821(e) or 1.821(f) or 1.821(g) or 1.825(b) or 1.825(d).

For questions regarding compliance to these requirements, please contact:

For Rules Interpretation, call (703) 308-4216
For CRF Submission Help, call (703) 308-4212
For PatentIn software help, call (703) 308-6856

PLEASE RETURN A COPY OF THIS NOTICE WITH YOUR RESPONSE



DOCKET NO.: CARP-0057

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of: **Adair et al.**

Serial No.: **08/846,658**

Group No.: **1642**

Filed: **May 1, 1997**

Examiner: **J. Reeves**

For: **Humanised Antibodies**

I, **Doreen Yatko Trujillo**, Registration No. **35,179** certify that this correspondence is being deposited with the U.S. Postal Service as First Class Mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

On March 17, 1998

Doreen Yatko Trujillo
Doreen Yatko Trujillo Reg. No. 35,179

BOX SEQUENCE

Assistant Commissioner for Patents
Washington DC 20231

RECEIVED

MAR 24 1998

Dear Sir:

MATRIX CUSTOMER
SERVICE CENTER

**STATEMENT TO SUPPORT FILING AND SUBMISSION IN ACCORDANCE
WITH 37 CFR §§ 1.821 THROUGH 1.825**

- (xx) I hereby state, in accordance with the requirements of **37 C.F.R. §1.821(f)**, that the contents of the paper and computer readable copies of the Sequence Listing, submitted in accordance with **37 CFR §1.821(c)** and (e), respectively are the same.
- () I hereby state that the submission filed in accordance with **37 CFR §1.821(g)** does not include new matter.
- () I hereby state that the submission filed in accordance with **37 CFR §1.821(h)** does not include new matter or go beyond the disclosure in the international application as filed.
- (xx) I hereby state that the amendments, made in accordance with **37 CFR §1.825(a)**, included in the substitute sheet(s) of the Sequence Listing are supported in the application, as filed, in Figures 3 and 4. I hereby state that the substitute sheets of the Sequence Listing do not include new matter.
- (XX) I hereby state that the substitute copy of the computer readable form, submitted in accordance with **37 CFR §1.825(b)**, is the same as the amended Sequence Listing.

DOCKET NO.: CARP-0057

PATENT

() I hereby state that the substitute copy of the computer readable form, submitted in accordance with **37 CFR §1.825(d)**, contains identical data to that originally filed.

Date: March 17, 1998


Signature

Doreen Yatko Trujillo

Registration No. **35,719**

**WOODCOCK WASHBURN KURTZ
MACKIEWICZ & NORRIS LLP
One Liberty Place - 46th Floor
Philadelphia, PA 19103
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